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PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	MTI-31041
	First Named Inventor	Ping, Er-Xuan
	COMPLETE IF KNOWN	
	Application Number	
	Filing Date	
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
Examiner Name		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method for Forming Raised Structures by Controlled Selective Epitaxial
Growth of Facet Using Spacer

the specification of which (Title of the Invention)

☒ is attached hereto
OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 356(b) of any foreign application(s) for patent or inventor's certificate, or 356(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02C attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number 022202

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Gary R. Plotecher	27830	Alan E. Wagner	45188
Kristine M. Strodthoff	34259	Thomas J. Pienkos	P46992
Alexander R. Kuszewski	41920	Michael L. Lynch	30871

☒ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label ☒ Correspondence address below

Name	Kristine M. Strodthoff, Esq.		
Address	Whyte Hirschboeck Dudek S.C.		
Address	111 E. Wisconsin Avenue, Suite 2100		
City	Milwaukee	State	WI
ZIP	53202	Fax	414/223-5000
Country	USA	Telephone	414/273-2100

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Er-Xuan		Ping	
Inventor's Signature	Er-Xuan Ping		Date
Residence: City	Meridian	State	ID
Country	USA	Citizenship	USA
Post Office Address	2239 E. Chateau Dr.		
Post Office Address			
City	Meridian	State	ID
ZIP	83642	Country	USA

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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PTO/SB/02A (3-97)
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Jeffrey A.				McKee			
Inventor's Signature				Date		03/12/01	
Residence: City	Meridian	State	ID	Country	USA	Citizenship	USA
Post Office Address							
3891 S. Gideon Pl.							
Post Office Address							
City	Meridian	State	ID	ZIP	83642	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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DECLARATION

Name

Charles B. Brantley, II

Registration
Number

38086

Name

**Registration
Number**

+

Patent

Attorney Docket No. MTI-31041

For: ☒ U.S. and/or ☒ Foreign-Rights
For: ☒ U.S. Application-or ☐ U.S. Provisional Application
For: ☒ U.S. Patent For: ☐ PCT Application
By: ☒ Inventor(s) or ☐ Present-Owner

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

Er-Xuan Ping

Inventor(s) or person(s) or entity(ies) who own the invention

2239 E. Chateau Dr.
Meridian, Idaho 83642
Address
Nationality U.S.A.

Jeffrey A. McKee

Inventor(s) or person(s) or entity(ies) who own the invention

3891 S. Gideon Pl.
Meridian, Idaho 83642
Address
Nationality U.S.A.

hereby sells, assigns and transfers to

ASSIGNEE:

Micron Technology, Inc.

Inventor(s) or person(s) or entity(ies) who own the invention

8000 S. Federal Way
Boise, Idaho 83706-9632
Address

and the successors, assigns and legal representatives of the ASSIGNEE
(complete one of the following)

- ☒ the entire right, title and interest
- ☐ an undivided percent (%) interest for the United States and its territorial possessions
- ☒ and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled:

**Method for Forming Raised Structures by Controlled
Selective Epitaxial Growth of Facet Using Spacer**

and which is found in (37 C.F.R. § 3.21)

- (a) ☒ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on _____.
- (c) ☐ U.S. provisional application number _____ filed on ___ naming the
above inventor(s) for the above-entitled invention
- ☐ Express mail label no.:

Mailed: _____

- ☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my attorney to insert
below the filing date and application number when they become known.
- (d) ☐ U.S. application no. _____ filed on _____
- (e) ☐ International application no. _____ PCT/ filed on _____
- (f) ☐ U.S. patent no. _____ issued _____
- ☐ A change of address to which correspondence is to be sent regarding patent
maintenance fees is being sent separately.

(check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to
claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any
continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or
re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or
will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with
all pertinent facts and documents relating to said invention and said Letters Patent and legal

equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

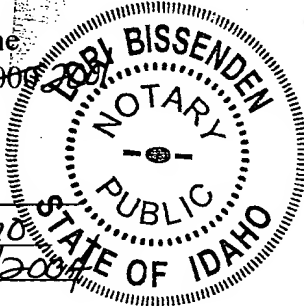
IN WITNESS WHEREOF, We have hereunto set hand and seal this 12th day of March, ~~2000~~ 2001

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: 03/12/01

Er-Xuan Ping
Er-Xuan Ping

Subscribed and sworn to before me
this 12th day of March, 2000

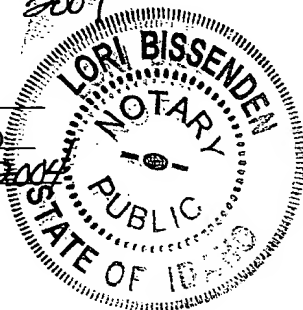


Lori Bissenden
Notary Public State of Idaho
My Commission Expires: 6/12/2004

Date: 03/12/01

Jeffrey A. McKee
Jeffrey A. McKee

Subscribed and sworn to before me
this 12th day of March, ~~2000~~ 2001



Lori Bissenden
Notary Public State of Idaho
My Commission Expires: 6/12/2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ping, Er-Xuan et al.
Serial No. : Not Yet Assigned
Filing Date :
For : Method for Forming Raised Structures by Controlled Selective Epitaxial Growth of Facet Using Spacer

Assistant Commissioner for Patents
Washington, D.C. 20231

**POWER OF ATTORNEY BY ASSIGNEE
AND CERTIFICATE UNDER 37 CFR § 3.73(b)**

Sir:

MICRON TECHNOLOGY, INC., assignee of the entire right, title and interest by assignment from the inventor(s) in the above-identified application, hereby appoints the following attorneys and agents:

Gary R. Plotecher, Reg. No. 27830
Alan E. Wagner, Reg. No. 45188
Alexander R. Kuszewski, Reg. No. 41920
Charles B. Brantley, II, Reg. No. 38086

Kristine M. Strodthoff, Reg. No. 34259
Thomas J. Pienkos, Reg. No. P46992
Michael L. Lynch, Reg. No. 30871

as its attorneys with full power of substitution to prosecute this application and all applications claiming filing date priority therefrom and to transact all business in the U.S. Patent and Trademark Office in connection therewith.

The above-identified assignee hereby elects, pursuant to 37 CFR §3.71, to conduct the prosecution of the above-identified patent application to the exclusion of the inventor(s).

A chain of title from the inventor(s) of the above-identified patent application to the above-identified assignee is shown:

- ☐ In an assignment recorded in the U.S. Patent and Trademark Office at Reel ___, Frame ____.
- ☒ In an assignment filed herewith for recordation, a true copy of which is attached hereto.

The undersigned has reviewed the above-identified assignment and, to the best of his knowledge and belief, title is in the above-identified assignee.

The undersigned further avers that he is empowered to make and sign the foregoing certification on behalf of the above-identified assignee, and to take the action set further herein on its behalf.

Please direct all communications regarding the above-identified application to:

Kristine M. Strodthoff
Whyte Hirschboeck Dudek S.C.
111 E. Wisconsin Ave., Suite 2100
Milwaukee, WI 53202
phone: (414) 273-2100
fax: (414) 223-5000

Respectfully submitted,

MICRON TECHNOLOGY, INC.

Dated: 2-14-01

By: 

Michael L. Lynch
Chief Patent Counsel